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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

CASE: KAMEL 2-15-11-11

TITLE: METHOD AND APPARATUS FOR ADAPTIVE SETTING OF INITIAL  
TRAFFIC POWER

THE ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Enclosed are the following papers relating to the above-named application for patent:

Specification  
2 Informal sheets of drawing(s)  
Unexecuted Assignment  
Unexecuted Declaration and Power of Attorney  
Associate Power of Attorney  
Express Mail Label No. EE486406341US  
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CLAIMS AS FILED				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	1 - 26	6	x \$22	\$132.00
Independent Claims	1 - 4	1	x \$82	\$82.00
Multiple Dependent Claim(s), if applicable			x \$270	\$0.00
Basic Fee				\$790.00
			TOTAL FEE:	\$1,004.00

#0173835.01  
053572-32263  
KAMEL 2-15-11-11

Please file the application and charge **Lucent Technologies Inc. Deposit Account No. 12-2325** the amount of **\$1,004.00**, to cover the filing fee. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Inc. Deposit Account No. 12-2325** as required to correct the error.

Please address all correspondence to **Intellectual Property Docket Administrator, Gibbons, Del Deo, Dolan, Griffinger & Vecchione, One Riverfront Plaza, Newark, New Jersey 07102-5497**. However, telephone calls should be made to me at Area Code (973) 596-4881, or (973) 596-4500.

Respectfully,



Matthew J. Hodulik  
Reg. No. 36,164  
Attorneys for Applicant

Date: September 21, 1998

**Intellectual Property Docket Administrator  
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One Riverfront Plaza  
Newark, New Jersey 07102-5497**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
**Kamel et al.**

Serial No.: **To Be Assigned**

Filed: **Herewith**

For: **METHOD AND APPARATUS FOR  
ADAPTIVE SETTING OF INITIAL  
TRAFFIC POWER**

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Washington, DC 20231

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**Karol Gambino**

#0173842.01  
053572-32263  
KAMEL 2-15-11-11

**METHOD AND APPARATUS FOR  
ADAPTIVE SETTING OF INITIAL TRAFFIC POWER**

**FIELD OF THE INVENTION**

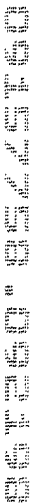
5           This invention relates to the field of wireless communications and in particular, to setting initial power levels in the forward link traffic channels.

**BACKGROUND OF THE INVENTION**

Wireless mobile communications provide the greatest convenience for  
10   users to access voice and data services essentially anywhere and anytime. Code Division Multiple Access ("CDMA") communication systems are one of the most promising digital wireless communication systems that can provide the desired mix of voice and data services. CDMA modulation techniques permit a large number of system users to communicate with one another.

15           The geographic coverage provided by the communications system is divided into coverage areas referred to as cells, where each cell corresponds to a base station. The cell may be further divided into multiple sectors. Communication channels allocated to a given cell and/or sector are determined according to various known methods. Each base station transmits a pilot signal  
20   that serves as a beacon for mobile units that are in the base station's cell.

At the outset of a mobile call, a typical mobile unit sends out a request for services to a base station over an access channel. In response, the typical base station initiates call set-up by using nominally set initial power levels for traffic



channels in the forward link (i.e., base station to mobile unit). The nominally set power levels are adjusted using various closed loop and open loop power control methods. Inappropriate initial power levels, however, present risks in setting up the mobile call. For example, inadequate initial power may lead to bursts of errors, resulting in call quality degradation. In some instances, the call may even be dropped in severely interfered environments because of weak initial power levels. A higher initial power level, however, will cause interference with other users and decrease overall system performance and capacity. A drawback of the existing control methods is that they have slow reaction times and can result in dropped calls.

### **SUMMARY OF THE INVENTION**

The present invention is an apparatus and method for adaptively setting initial power levels in the forward link traffic channels based on an interference measure. The interference measure indicates the losses in the forward link traffic channels due to interference from other base stations, mobile receiver noise and any other source of noise or interference, where the interference measure is the difference between the base station transmitted pilot  $E_C/I_O$  and the mobile unit received pilot  $E_C/I_O$ , and where  $E_C/I_O$  is the energy per chip to interference density. The method causes the initial power levels in the traffic channels to be changed in response to the presence of degradation sources. Call quality and system capacity are thus optimized since the initial power level at the base station is set in

accordance with the interference environment. Importantly, the present invention is implementable in any CDMA based communication system.

In an exemplary embodiment of the present invention, a mobile station sends out service requests over an access channel to a base station. The access  
 5 message contains the pilot  $E_C/I_O$  received by the mobile unit. The base station extracts the mobile unit received pilot  $E_C/I_O$  from the access message transmitted by the mobile unit. An interference measure is then computed by determining the difference between the mobile unit received pilot  $E_C/I_O$  from the base station transmitted pilot  $E_C/I_O$ . The base station then sets the initial power level in the  
 10 forward link based on the interference measure.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

A more complete understanding of the present invention may be obtained from consideration of the following description in conjunction with the drawings  
 15 in which:

FIG. 1 is a representative block diagram of a typical wireless network; and

FIG. 2 is an exemplary flowchart of how a mobile unit communicates with a base station.

#### **DETAILED DESCRIPTION**

Although the present invention is particularly well suited for a CDMA system and shall be so described, the present invention is equally well suited for

use with other communications systems including Wideband CDMA (W-CDMA), as well as other digital and analog transmission methods.

Referring now to FIG. 1 there is shown a representative block diagram of a typical cellular wireless network. A Mobile Telephone Switching Office (“MTSO”) 10, also know as a Mobile Switching Center (“MSC”), provides for switching calls between a cellular network and a switched wired network 12.

MTSO 10 is connected to a plurality of cellular base stations 14. Cellular base station 14 includes a fixed position multi-channel transceiver that is coupled through a radio port to a cellular antenna 16. The geographical area for which cellular base station 14 acts as the communication gateway is called a cell 18. Various cellular base station 14 cells are distributed in suitable locations to form the system coverage. Each cellular base station 14 has an identifiable pilot channel signal that provides a beacon for any cellular mobile units, mobile stations or mobile units 20 that are in cell 18. Mobile unit 20 communicates with cellular base station 14 within cell 18 through a forward link (base station to mobile) that includes a pilot channel and multiple traffic channels, and a reverse link (mobile to base station) that includes multiple traffic channels and access channels.

In the exemplary communications system, the forward link or downlink channels includes a pilot channel, a synchronization channel and multi-purpose channels. The multi-purpose channels can be used for forward traffic, but a certain number are used as paging channels. The reverse link or uplink channels include

traffic channels and access channels. The access channel enables mobile unit 20 to communicate non-traffic information, for example, to originate calls and to respond to paging.

In response to a service request over the access channel from mobile unit 5 20 (also referred to as sending an access probe), base station 14 will initiate call setup processing by transmitting to mobile unit 20 a traffic channel assignment, along with other parameters. This forward link base station transmission, in typical systems, is set at an initial or nominal power level that does not account for the interference environment. As a result, a mobile call can be highly degraded 10 or even dropped due to weak power levels.

FIG. 2 is a flow chart 200 illustrating the method for setting up a call in accordance with the invention. Specifically, base station 14 will initiate call setup processing in response to an access probe from mobile unit 20 (step 210). Base station 14 will then advantageously use the mobile unit received pilot  $E_C/I_O$  15 parameter that is available in the access probe transmission to adaptively set the initial power level (step 220). Specifically, several new messages have been proposed for incorporation within the IS-95B standard to aid in CDMA based system hand-offs. Although the information was initially intended for hand-offs, the mobile unit received pilot  $E_C/I_O$  parameter can be beneficially used by the 20 method of the invention to set the initial power levels in the forward link traffic channel. See, for example, "Inter-frequency Hard Hand-off Improvements (Rev. 2)," to TR45.5, TR45.5.3.1/97.03.20.02, submitted on March 20, 1997, by



Edward Tiedemann and Tao Chen, and a contribution entitled "Proposed IS-95B Text for Inter-Frequency Hard Hand-off Improvements," to TR45.5, TR45.5/97.03.20.03, submitted on March 17-21, 1997, by Pradeep Jain, et al.

Base station 14 of the present invention calculates an interference measure  
 5 by computing the difference between the mobile unit received pilot  $E_C/I_O$  from the base station transmitted pilot  $E_C/I_O$ , where  $E_C/I_O$  is the energy per chip to interference density (step 230). In equation form:

$$\text{Interference Measure} = T_X E_C/I_O \text{ (dB)} - R_X E_C/I_O \text{ (dB)}$$

where

10  $T_X E_C/I_O$  is the transmit pilot  $E_C/I_O$  in the base station and varies according to forward link loading; and  
 $R_X E_C/I_O$  is the mobile received pilot  $E_C/I_O$

The interference measure indicates the losses in the forward link traffic  
 15 channels due to interference from other base stations, mobile receiver noise and any other source of noise or interference. Specifically, the interference measure indicates the level of interference present from other base stations that the mobile unit encounters in demodulating the pilot signal from the desired base station. This interference measure is then used by base station 14 to adaptively set the initial  
 20 power levels on the forward link traffic channel (step 240). If the interference measure indicates a high level of interference, a higher initial power level is set for the forward link traffic channel and vice versa. In an exemplary embodiment, the function relating the initial power level and the interference measure is linear. Other

monotonic functions are usable in alternate embodiments for expressing the relationship between the initial power level and the interference measure. For example, a look-up table indexed by the interference measure can be used to determine the initial power level of the forward traffic channel. In an alternate  
 5 embodiment, the base station could send the transmitted pilot  $E_C/I_O$  to the mobile unit, which could then proceed to do the above computations. This would be then sent to the base station to set the initial power levels.

By using the adaptive power level setting method of the invention, system capacity is increased and power is conserved in the forward link. This is  
 10 especially important for IS-95 conforming communication systems where the forward link power control processing is very slow. Using the proper initial power level is critical in power/interference savings, which in turn results in improved system capacity. Call quality and call survival are improved since the initial power level is set in accordance with the interference environment.

15 Numerous modifications and alternative embodiments of the invention will be apparent to those skilled in the art in view of the foregoing description. Accordingly, this description is to be construed as illustrative only and is for the purpose of teaching those skilled in the art the best mode of carrying out the invention. Details of the structure may be varied substantially without departing  
 20 from the spirit of the invention and the exclusive use of all modifications which come within the scope of the appended claim is reserved.

**WHAT IS CLAIMED:**

1. In a wireless communications system having a base station and a mobile unit, a method for setting initial power levels between the mobile unit and the base station, said method comprising the steps of:
  - calculating an interference measure from a mobile unit received pilot power; and
  - setting an initial power level in a forward link based on said interference measure.
2. The method according to claim 1, wherein said step of calculating determines a difference between said mobile unit received pilot power and a base station transmitted pilot power.
3. The method according to claim 2, wherein said mobile unit received pilot power and said base station transmitted pilot power are  $E_c/I_0$ s, each said  $E_c/I_0$  representing a ratio between energy per chip to interference density.
4. The method according to claim 1, wherein said mobile unit received pilot power is included in a request for services transmission from the mobile unit.
5. The method according to claim 1, wherein said interference measure indicates interference levels due to other base stations and mobile receiver noise.

6. The method according to claim 1, wherein said interference measure is linearly related to said initial power level.

5 7. The method according to claim 1, wherein said interference measure is monotonically related to said initial power level.

8. The method according to claim 1, wherein said mobile unit received pilot power is included in an access channel transmission from the mobile unit.

10

9. In a wireless communications system having a base station and a mobile unit, a method for setting up a call between the mobile unit and the base station, said method comprising the steps of:

15 receiving a request for services over an access channel from the mobile unit;

determining an interference measure from a mobile unit received pilot power; and

setting an initial power level in a forward link traffic channel transmission based on said interference measure.

20

10. The method according to claim 9, wherein said step of determining includes the steps of:

extracting said mobile unit received pilot power from transmitted messages in said access channel; and

computing a difference between said mobile unit received pilot power and a base station transmitted pilot power.

5

11. The method according to claim 10, wherein said mobile unit received pilot power and said base station transmitted pilot power are  $E_c/I_0$ s, each said  $E_c/I_0$  representing a ratio between energy per chip to interference density.

10 12. The method according to claim 9, wherein said interference measure indicates interference levels due to other base stations and mobile receiver noise.

13. The method according to claim 9, wherein said interference measure is linearly related to said initial power level.

15

14. The method according to claim 9, wherein said interference measure is monotonically related to said initial power level.

15. In a wireless CDMA based communications system having a base station  
20 and a mobile unit, a method for setting up a call between the mobile unit and the base station, said method comprising the steps of:

receiving an access probe from the mobile unit;

determining an interference measure from a mobile unit received pilot power transmitted in said access probe; and

setting an initial power level in a forward link traffic channel transmission based on said interference measure.

5

16. The method according to claim 15, wherein said step of determining includes the steps of:

extracting said mobile unit received pilot power from transmitted messages in said access probe; and

10 subtracting said mobile unit received pilot power from a base station transmitted pilot power.

17. The method according to claim 16, wherein said mobile unit received pilot power and said base station transmitted pilot power are  $E_c/I_o$ s, each said  $E_c/I_o$

15 representing a ratio between energy per chip to interference density.

18. The method according to claim 15, wherein said interference measure indicates interference levels due to other base stations and mobile receiver noise.

20 19. The method according to claim 17, wherein said interference measure is linearly related to said initial power level.

20. The method according to claim 17, wherein said interference measure is monotonically related to said initial power level.

21. In a wireless communications system having a base station and a mobile unit, a method for setting initial power levels between the mobile unit and the base station, said method comprising the steps of:

receiving a base station transmitted pilot power;

calculating an interference measure from a mobile unit received pilot power and said base station transmitted pilot power; and

10 setting an initial power level in a forward link based on said interference measure.

22. The method according to claim 21, wherein said step of calculating determines a difference between said mobile unit received pilot power and said base station transmitted pilot power.

23. The method according to claim 22, wherein said mobile unit received pilot power and said base station transmitted pilot power are  $E_c/I_0$ s, each said  $E_c/I_0$  representing a ratio between energy per chip to interference density.

20

24. The method according to claim 21, wherein said interference measure indicates interference levels due to other base stations and mobile receiver noise.

25. The method according to claim 21, wherein said interference measure is linearly related to said initial power level.

26. The method according to claim 21, wherein said interference measure is  
5 monotonically related to said initial power level.

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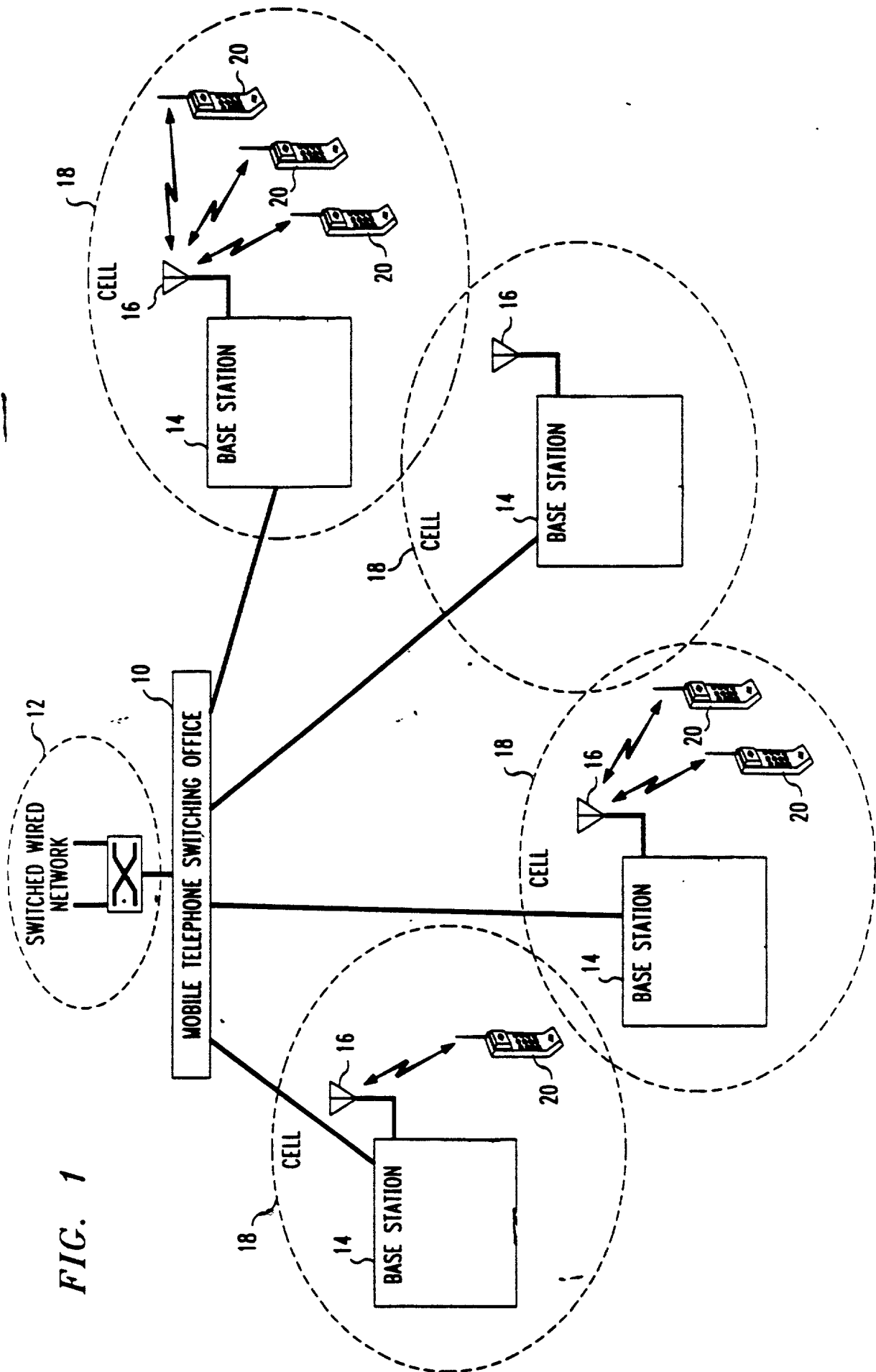


**ABSTRACT OF THE DISCLOSURE**

A method and apparatus that permits base stations to adaptively set initial power levels in forward link traffic channels (i.e., base station to mobile unit) based on an interference measure, where the interference measure is the difference

5 between the base station transmitted pilot  $E_C/I_O$  and the mobile unit received pilot  $E_C/I_O$ . The interference measure indicates how much interference is present from other base stations in the mobile unit's locale, mobile receiver noise and other similar sources. Call quality and system capacity are optimized since the initial power level is set in accordance with the interference environment. The method of

10 the invention implements the adaptive setting by extracting the mobile unit received pilot  $E_C/I_O$  from the access message transmitted by the mobile unit. The interference measure is then determined and used by the base station to set the initial power level in the forward link traffic channel.



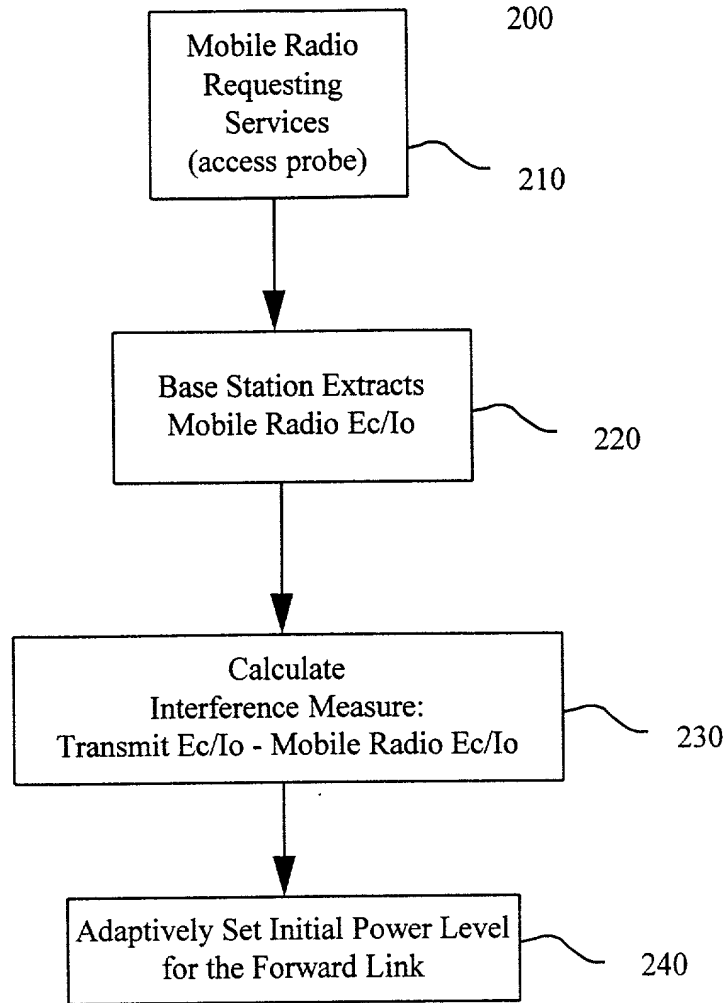


FIG. 2

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**DECLARATION AND POWER OF ATTORNEY**

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names.

We believe we are an original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR ADAPTIVE SETTING OF INITIAL TRAFFIC POWER**, the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above identified specifications, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

We acknowledge the duty to disclose all information known to us which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None.

We hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, we acknowledge the duty to disclose all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

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Richard J. Botos	(Reg. No. 32016)
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02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99

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53572-32263

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[illegible]

**ASSOCIATE POWER OF ATTORNEY**

Please recognize Henry J. Walsh, Esq. (Reg. No. 24,451), Matthew J. Hodulik, Esq. (Reg. No. 36,164), David P. Krivoshik (Reg. No. 39,258), and John A. Ligon, Esq. (Reg. No. 25,938), with the firm of Gibbons, Del Deo, Dolan, Griffinger & Vecchione, A Professional Corporation, One Riverfront Plaza, Newark, New Jersey 07102-5497, as associate attorneys in the above-mentioned application, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

Telephone calls should be made to the said associate attorneys by dialing Area Code (973) 596-4500 or (973) 596-4855.

All written communications are to be addressed to **Henry J. Walsh, Esq., Gibbons, Del Deo, Dolan, Griffinger & Vecchione, One Riverfront Plaza, Newark, New Jersey 07102-5497.**

---

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